

**TRANSMITTAL LETTER TO THE  
UNITED STATES RECORDING OFFICE**

PTO-1382 (Rev. 04-2003) (Modified)

PCTUS2.FRP /REV03

Date	December 12, 2003
International Application No.	10/539031
Attorney Docket No.	60425 PCT

**JC17 Rec'd PCT/PTO 13 JUN 2005**

**I. Certification under 37 CFR 1.10 (if applicable)**

EV342589060US
Express Mail mailing number

December 12, 2003
Date of Deposit

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Michelle P. Chicos*

Signature of person mailing correspondence

Michelle P. Chicos

Typed or printed name of person mailing correspondence

**II.  New International Application**

TITLE	ACID-SUBSTITUTED QUINAZOLIN-4-YLAMINE ANALOGUES	Earliest priority date (Day/Month/Year)
		13/12/02

**SCREENING DISCLOSURE INFORMATION:** In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):

- A.  The invention disclosed was not made in the United States.
- B.  There is no prior U.S. application relating to this invention.
- C.  The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on form PCT/RO/101 (Request) and this listing does not constitute a claim for priority).

application no.	60/433,139	filed on	December 13, 2002
application no.		filed on	

- D.  The present international application contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C. above. The additional subject matter is found on pages [ ] and  DOES NOT ALTER  MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15.

**III.  A Response to an Invitation from the RO/US. The following document(s) is (are) enclosed:**

- A.  A Request for An Extension of Time to File a Response
- B.  A Power of Attorney (General or Regular)
- C.  Replacement pages:

pages		of the request (PCT/RO/101)	pages		of the figures
pages		of the description	pages		of the abstract
pages		of the claims			

- D.  Submission of Priority Documents

Priority document	Priority document
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- E.  Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex

**IV.  A Request for Rectification under PCT 91       A Petition       A Sequence Listing Diskette**

**V.  Other (please specify):**

The person signing this form is the:

<input type="checkbox"/> Applicant	John B. Alexander, Ph.D.
<input checked="" type="checkbox"/> Attorney/Agent (Reg. No.) 48,399	Typed name of signer
<input type="checkbox"/> Common Representative	<i>John B. Alexander</i> Signature

PCT

**REQUEST**

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

International Application No.

International Filing Date

Name of receiving Office and "PCT International Application"

Applicant's or agent's file reference  
(if desired) (12 characters maximum) 60425 PCT**Box No. I TITLE OF INVENTION**  
ACID-SUBSTITUTED QUINAZOLIN-4-YLAMINE ANALOGUES**Box No. II APPLICANT** This person is also inventor

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

NEUROGEN CORPORATION  
35 Northeast Industrial Road  
Branford, Connecticut, 06405  
United States

Telephone No.

Facsimile No.

Teleprinter No.

Applicant's registration No. with the Office

State (that is, country) of nationality:  
USState (that is, country) of residence:  
US

This person is applicant  all designated States  all designated States except the United States of America  the United States of America only  the States indicated in the Supplemental Box

**Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)**

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

BAKTHAVATCHALAM, Rajagopal  
67 Hickory Lane  
Madison, CT 06443  
United States

This person is:

 applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.)

Applicant's registration No. with the Office

State (that is, country) of nationality:  
USState (that is, country) of residence:  
US

This person is applicant  all designated States  all designated States except the United States of America  the United States of America only  the States indicated in the Supplemental Box

 Further applicants and/or (further) inventors are indicated on a continuation sheet.**Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE**

The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:

 agent common representative

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

ALEXANDER, John B. Ph.D.  
EDWARDS & ANGELL, LLP  
P.O. Box 9169  
Boston, MA 02209  
United States

Telephone No.

(617) 439-4444

Facsimile No.

(617) 439-4170

Teleprinter No.

Agent's registration No. with the Office  
48,399

Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.

**Continuation of Box No. III - FURTHER APPLICANTS AND/OR (FURTHER) INVENTOR(S)***If none of the following sub-boxes is used, this sheet should not to be included in the request.*

Name and address: *(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)*

BLUM, Charles A.  
785 W. Pond Meadow Road  
Westbrook, CT 06498  
United States

This person is:

- applicant only  
 applicant and inventor  
 inventor only *(If this check-box is marked, do not fill in below.)*

Applicant's registration No. with the Office

State *(that is, country) of nationality:*  
USState *(that is, country) of residence:*  
US

This person is applicant  all designated States  all designated States except the United States of America  the United States of America only  the States indicated in the Supplemental Box

Name and address: *(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)*

BRIELMANN, Harry  
14 Elm Street  
Guilford, CT 06437  
United States

This person is:

- applicant only  
 applicant and inventor  
 inventor only *(If this check-box is marked, do not fill in below.)*

Applicant's registration No. with the Office

State *(that is, country) of nationality:*  
USState *(that is, country) of residence:*  
US

This person is applicant  all designated States  all designated States except the United States of America  the United States of America only  the States indicated in the Supplemental Box

Name and address: *(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)*

CALDWELL, Timothy M.  
35 Indian Neck Avenue  
Branford, CT 06405  
United States

This person is:

- applicant only  
 applicant and inventor  
 inventor only *(If this check-box is marked, do not fill in below.)*

Applicant's registration No. with the Office

State *(that is, country) of nationality:*  
USState *(that is, country) of residence:*  
US

This person is applicant  all designated States  all designated States except the United States of America  the United States of America only  the States indicated in the Supplemental Box

Name and address: *(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)*

De LOMBAERT, Stephane  
37 Concord Drive  
Madison, CT 06443  
United States

This person is:

- applicant only  
 applicant and inventor  
 inventor only *(If this check-box is marked, do not fill in below.)*

Applicant's registration No. with the Office

State *(that is, country) of nationality:*  
BELGIUMState *(that is, country) of residence:*  
US

This person is applicant  all designated States  all designated States except the United States of America  the United States of America only  the States indicated in the Supplemental Box

Further applicants and/or (further) inventors are indicated on another continuation sheet.

**Continuation of Box No. III. FURTHER APPLICANTS AND/OR (FURTHER) INVENTOR(S)***If none of the following sub-boxes is used, this sheet should not to be included in the request.*

Name and address: (Family name followed by given name; for a legal entity, full official designation.  
The address must include postal code and name of country. The country of the address indicated in this  
Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

HODGETTS, Kevin J.  
224 Reservoir Road  
Killingworth, CT 06419  
United States

This person is:

- applicant only  
 applicant and inventor  
 inventor only (If this check-box is marked, do not fill in below.)

Applicant's registration No. with the Office

State (that is, country) of nationality:  
GREAT BRITAINState (that is, country) of residence:  
US

This person is applicant  all designated States  all designated States except the United States of America  the United States of America only  the States indicated in the Supplemental Box

Name and address: (Family name followed by given name; for a legal entity, full official designation.  
The address must include postal code and name of country. The country of the address indicated in this  
Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

ZHENG, Xiaozhang  
10 Roby Court  
Branford, CT 06405  
United States

This person is:

- applicant only  
 applicant and inventor  
 inventor only (If this check-box is marked, do not fill in below.)

Applicant's registration No. with the Office

State (that is, country) of nationality:  
CHINAState (that is, country) of residence:  
US

This person is applicant  all designated States  all designated States except the United States of America  the United States of America only  the States indicated in the Supplemental Box

Name and address: (Family name followed by given name; for a legal entity, full official designation.  
The address must include postal code and name of country. The country of the address indicated in this  
Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

This person is:

- applicant only  
 applicant and inventor  
 inventor only (If this check-box is marked, do not fill in below.)

Applicant's registration No. with the Office

State (that is, country) of nationality:

State (that is, country) of residence:

This person is applicant  all designated States  all designated States except the United States of America  the United States of America only  the States indicated in the Supplemental Box

Name and address: (Family name followed by given name; for a legal entity, full official designation.  
The address must include postal code and name of country. The country of the address indicated in this  
Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

This person is:

- applicant only  
 applicant and inventor  
 inventor only (If this check-box is marked, do not fill in below.)

Applicant's registration No. with the Office

State (that is, country) of nationality:

State (that is, country) of residence:

This person is applicant  all designated States  all designated States except the United States of America  the United States of America only  the States indicated in the Supplemental Box

Further applicants and/or (further) inventors are indicated on another continuation sheet.

## Box No.V DESIGNATION OF STATES

Mark the applicable check-boxes below; at least one must be marked.

The following designations are hereby made under Rule 4.9(a):

## Regional Patent

- AP ARIPO Patent: GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, MZ Mozambique, SD Sudan, SL Sierra Leone, SZ Swaziland, TZ United Republic of Tanzania, UG Uganda, ZM Zambia, ZW Zimbabwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT (*if other kind of protection or treatment desired, specify on dotted line*) .....
- EA Eurasian Patent: AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT .....
- EP European Patent: AT Austria, BE Belgium, BG Bulgaria, CH & LI Switzerland and Liechtenstein, CY Cyprus, CZ Czech Republic, DE Germany, DK Denmark, EE Estonia, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, HU Hungary, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, RO Romania, SE Sweden, SI Slovenia, SK Slovakia, TR Turkey, and any other State which is a Contracting State of the European Patent Convention and of the PCT .....
- OA OAPI Patent: BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, GQ Equatorial Guinea, GW Guinea-Bissau, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (*if other kind of protection or treatment desired, specify on dotted line*) .....

National Patent (*if other kind of protection or treatment desired, specify on dotted line*):

- |   |  |   |
|---|--|---|
| <input checked="" type="checkbox"/> AE United Arab Emirates .....               | <input checked="" type="checkbox"/> HR Croatia .....                                   | <input checked="" type="checkbox"/> OM Oman .....                             |
| <input checked="" type="checkbox"/> AG Antigua and Barbuda .....                | <input checked="" type="checkbox"/> HU Hungary .....                                   | <input checked="" type="checkbox"/> PG Papua New Guinea .....                 |
| <input checked="" type="checkbox"/> AL Albania .....                            | <input checked="" type="checkbox"/> ID Indonesia .....                                 | <input checked="" type="checkbox"/> PH Philippines .....                      |
| <input checked="" type="checkbox"/> AM Armenia .....                            | <input checked="" type="checkbox"/> IL Israel .....                                    | <input checked="" type="checkbox"/> PL Poland .....                           |
| <input checked="" type="checkbox"/> AT Austria .....                            | <input checked="" type="checkbox"/> IN India .....                                     | <input checked="" type="checkbox"/> PT Portugal .....                         |
| <input checked="" type="checkbox"/> AU Australia .....                          | <input checked="" type="checkbox"/> IS Iceland .....                                   | <input checked="" type="checkbox"/> RO Romania .....                          |
| <input checked="" type="checkbox"/> AZ Azerbaijan .....                         | <input checked="" type="checkbox"/> JP Japan .....                                     | <input checked="" type="checkbox"/> RU Russian Federation .....               |
| <input checked="" type="checkbox"/> BA Bosnia and Herzegovina .....             | <input checked="" type="checkbox"/> KE Kenya .....                                     | <input checked="" type="checkbox"/> SC Seychelles .....                       |
| <input checked="" type="checkbox"/> BB Barbados .....                           | <input checked="" type="checkbox"/> KG Kyrgyzstan .....                                | <input checked="" type="checkbox"/> SD Sudan .....                            |
| <input checked="" type="checkbox"/> BG Bulgaria .....                           | <input checked="" type="checkbox"/> KP Democratic People's Republic of Korea .....     | <input checked="" type="checkbox"/> SE Sweden .....                           |
| <input checked="" type="checkbox"/> BR Brazil .....                             | <input checked="" type="checkbox"/> KR Republic of Korea .....                         | <input checked="" type="checkbox"/> SG Singapore .....                        |
| <input checked="" type="checkbox"/> BY Belarus .....                            | <input checked="" type="checkbox"/> KZ Kazakhstan .....                                | <input checked="" type="checkbox"/> SK Slovakia .....                         |
| <input checked="" type="checkbox"/> BZ Belize .....                             | <input checked="" type="checkbox"/> LC Saint Lucia .....                               | <input checked="" type="checkbox"/> SL Sierra Leone .....                     |
| <input checked="" type="checkbox"/> CA Canada .....                             | <input checked="" type="checkbox"/> LK Sri Lanka .....                                 | <input checked="" type="checkbox"/> SY Syrian Arab Republic .....             |
| <input checked="" type="checkbox"/> CH & LI Switzerland and Liechtenstein ..... | <input checked="" type="checkbox"/> LR Liberia .....                                   | <input checked="" type="checkbox"/> TJ Tajikistan .....                       |
| <input checked="" type="checkbox"/> CN China .....                              | <input checked="" type="checkbox"/> LS Lesotho .....                                   | <input checked="" type="checkbox"/> TM Turkmenistan .....                     |
| <input checked="" type="checkbox"/> CO Colombia .....                           | <input checked="" type="checkbox"/> LT Lithuania .....                                 | <input checked="" type="checkbox"/> TN Tunisia .....                          |
| <input checked="" type="checkbox"/> CR Costa Rica .....                         | <input checked="" type="checkbox"/> LU Luxembourg .....                                | <input checked="" type="checkbox"/> TR Turkey .....                           |
| <input checked="" type="checkbox"/> CU Cuba .....                               | <input checked="" type="checkbox"/> LV Latvia .....                                    | <input checked="" type="checkbox"/> TT Trinidad and Tobago .....              |
| <input checked="" type="checkbox"/> CZ Czech Republic .....                     | <input checked="" type="checkbox"/> MA Morocco .....                                   | <input checked="" type="checkbox"/> TZ United Republic of Tanzania .....      |
| <input checked="" type="checkbox"/> DE Germany .....                            | <input checked="" type="checkbox"/> MD Republic of Moldova .....                       | <input checked="" type="checkbox"/> UA Ukraine .....                          |
| <input checked="" type="checkbox"/> DK Denmark .....                            | <input checked="" type="checkbox"/> MG Madagascar .....                                | <input checked="" type="checkbox"/> UG Uganda .....                           |
| <input checked="" type="checkbox"/> DM Dominica .....                           | <input checked="" type="checkbox"/> MK The former Yugoslav Republic of Macedonia ..... | <input checked="" type="checkbox"/> US United States of America .....         |
| <input checked="" type="checkbox"/> DZ Algeria .....                            | <input checked="" type="checkbox"/> MN Mongolia .....                                  | <input checked="" type="checkbox"/> UZ Uzbekistan .....                       |
| <input checked="" type="checkbox"/> EC Ecuador .....                            | <input checked="" type="checkbox"/> MW Malawi .....                                    | <input checked="" type="checkbox"/> VC Saint Vincent and the Grenadines ..... |
| <input checked="" type="checkbox"/> EE Estonia .....                            | <input checked="" type="checkbox"/> MX Mexico .....                                    | <input checked="" type="checkbox"/> VN Viet Nam .....                         |
| <input checked="" type="checkbox"/> ES Spain .....                              | <input checked="" type="checkbox"/> MZ Mozambique .....                                | <input checked="" type="checkbox"/> YU Serbia and Montenegro .....            |
| <input checked="" type="checkbox"/> FI Finland .....                            | <input checked="" type="checkbox"/> NI Nicaragua .....                                 | <input checked="" type="checkbox"/> ZA South Africa .....                     |
| <input checked="" type="checkbox"/> GB United Kingdom .....                     | <input checked="" type="checkbox"/> NO Norway .....                                    | <input checked="" type="checkbox"/> ZM Zambia .....                           |
| <input checked="" type="checkbox"/> GD Grenada .....                            | <input checked="" type="checkbox"/> NZ New Zealand .....                               | <input checked="" type="checkbox"/> ZW Zimbabwe .....                         |
| <input checked="" type="checkbox"/> GE Georgia .....                            |  |   |
| <input checked="" type="checkbox"/> GH Ghana .....                              |  |   |
| <input checked="" type="checkbox"/> GM Gambia .....                             |  |   |

Check-boxes below reserved for designating States which have become party to the PCT after issuance of this sheet:

- All countries added since printing .....

**Precautionary Designation Statement:** In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (*Confirmation (including fees) must reach the receiving Office within the 15-month time limit.*)

**Supplemental Box***If the Supplemental Box is not used, this sheet should not be included in the request.*

1. If, in any of the Boxes, except Boxes Nos VIII(i) to (v) for which a special continuation box is provided, the space is insufficient to furnish all the information: in such case, write "Continuation of Box No...." (indicate the number of the Box) and furnish the information in the same manner as required according to the captions of the Box in which the space was insufficient, in particular:
- (i) if more than two persons are to be indicated as applicants and/or inventors and no "continuation sheet" is available: in such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below;
  - (ii) if, in Box No. II or in any of the sub-boxes of Box No. III, the indication "the States indicated in the Supplemental Box" is checked: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is applicant;
  - (iii) if, in Box No. II or in any of the sub-boxes of Box No. III, the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purposes of the United States of America: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the inventor(s) and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is inventor;
  - (iv) if, in addition to the agent(s) indicated in Box No. IV, there are further agents: in such case, write "Continuation of Box No. IV" and indicate for each further agent the same type of information as required in Box No. IV;
  - (v) if, in Box No. V, the name of any State (or OAPI) is accompanied by the indication "patent of addition," or "certificate of addition," or if, in Box No. V, the name of the United States of America is accompanied by an indication "continuation" or "continuation-in-part": in such case, write "Continuation of Box No. V" and the name of each State involved (or OAPI), and after the name of each such State (or OAPI), the number of the parent title or parent application and the date of grant of the parent title or filing of the parent application;
  - (vi) if, in Box No. VI, there are more than five earlier applications whose priority is claimed: in such case, write "Continuation of Box No. VI" and indicate for each additional earlier application the same type of information as required in Box No. VI.
2. If, with regard to the precautionary designation statement contained in Box No. V, the applicant wishes to exclude any State(s) from the scope of that statement: in such case, write "Designation(s) excluded from precautionary designation statement" and indicate the name or two-letter code of each State so excluded.

**Continuation of Box IV:**

CONLIN, David G.  
 NEUNER, George  
 BUCKLEY, Linda M.  
 CORLESS, Peter F.  
 MANUS, Peter J.  
 DALEY, Jr., William J.  
 BUCHANAN, Robert L.  
 O'DAY, Christine C.  
 HAZZARD, Lisa S.  
 TUCKER, David A.  
 HARTNELL III, George W.  
 ALEXANDER, John B.  
 JENSEN, Steven M.  
 PIFFAT, Kathryn A.  
 ROOS, Richard J.  
 MANSO, Peter J.  
 REES, Dianne M.  
 GITLEN, Howard M.  
 PENNY, Jr., John J.  
 KONIECZNY, J. Mark  
 ROSENFIELD, Jennifer K.  
 BUTLER, Gregory B.  
 KRAMER, Barry  
 COUGHLIN, Daniel F.  
 WOFSY, Scott D.  
 CHACLAS, George N.  
 NEWMAN, Richard H.  
 SILVIA, David J.  
 HEUSCH, Marina I.  
 LAURO, Peter C.  
 KITCHELL, Barbara

The above attorneys are all members of the firm:  
**EDWARDS & ANGELL, LLP**  
 P.O. Box 9169  
 Boston, Massachusetts 02209  
 US

**Box No. VI      PRIORITY CLAIM**

The priority of the following earlier application(s) is hereby claimed:

Filing date of earlier application (day/month/year)	Number of earlier application	Where earlier application is:		
		national application: country or Member of WTO	regional application:/* regional Office	international application: receiving Office
item (1)  13/12/02	60/433,139	US		
item (2)				
item (3)				
item (4)				
item (5)				

Further priority claims are indicated in the Supplemental Box.

The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) (*only if the earlier application was filed with the Office which for the purposes of this international application is the receiving Office*) identified above as:

all items     item (1)     item (2)     item (3)     item (4)     item (5)     other, see  
Supplemental Box

\* Where the earlier application is an ARIPO application, indicate at least one country party to the Paris Convention for the Protection of Industrial Property or one Member of the World Trade Organization for which that earlier application was filed (Rule 4.10(b)(ii)): .....

**Box No. VII      INTERNATIONAL SEARCHING AUTHORITY**

**Choice of International Searching Authority (ISA)** (if two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):

ISA/EP

**Request to use results of earlier search; reference to that search (if an earlier search has been carried out by or requested from the International Searching Authority):**

Date (*day/month/year*)

### Number

**Country (or regional Office)**

**Box No. VIII DECLARATIONS**

The following declarations are contained in Boxes Nos. VIII (i) to (v) (mark the applicable check-boxes below and indicate in the right column the number of each type of declaration):

### **Number of declarations**

- Box No. VIII (i) Declaration as to the identity of the inventor

Box No. VIII (ii) Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent

Box No. VIII (iii) Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application

Box No. VIII (iv) Declaration of inventorship (only for the purposes of the designation of the United States of America)

Box No. VIII (v) Declaration as to non-prejudicial disclosures or exceptions to lack of novelty

**Box No. IX CHECK LIST; LANGUAGE OF FILING**

This international application contains:		This international application is accompanied by the following item(s) (mark the applicable check-boxes below and indicate in right column the number of each item):		Number of items
(a) in paper form, the following number of sheets:				
request (including declaration sheets)	: 7	<input checked="" type="checkbox"/> fee calculation sheet	:	1
description (excluding sequence listings and/or tables related thereto)	: 93	<input type="checkbox"/> original separate power of attorney	:	
claims	: 15	<input type="checkbox"/> original general power of attorney	:	
abstract	: 1	<input type="checkbox"/> copy of general power of attorney; reference number, if any: .....	:	
drawings	: 0	<input type="checkbox"/> statement explaining lack of signature	:	
<b>Sub-total number of sheets</b>	<b>: 116</b>	<input type="checkbox"/> priority document(s) identified in Box No. VI as item(s): .....	:	
sequence listings	:	<input type="checkbox"/> translation of international application into (language): .....	:	
tables related thereto	:	<input type="checkbox"/> separate indications concerning deposited microorganism or other biological material	:	
(for both, actual number of sheets if filed in paper form, whether or not also filed in computer readable form; see (c) below)	:	<input type="checkbox"/> sequence listings in computer readable form (indicate type and number of carriers)	:	
<b>Total number of sheets</b>	<b>: 116</b>	(i) <input type="checkbox"/> copy submitted for the purposes of international search under Rule 13ter only (and not as part of the international application)	:	
(b) <input type="checkbox"/> only in computer readable form (Section 801(a)(i))		(ii) <input type="checkbox"/> (only where check-box (b)(i) or (c)(i) is marked in left column) additional copies including, where applicable, the copy for the purposes of international search under Rule 13ter	:	
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Figure of the drawings which should accompany the abstract:	Language of filing of the international application: US			

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Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).

Rajagopal BAKTHAVATCHALAM

Charles A. BLUM

Harry A. BRIELMANN

Timothy M. CALDWELL

Stephane DeLOMABAERT

Kevin J. HODGETTS

Xiaozhang ZHENG

John B. ALEXANDER, Agent for Applicant

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(a) in paper form, the following number of sheets:		1. <input checked="" type="checkbox"/> fee calculation sheet 2. <input type="checkbox"/> original separate power of attorney 3. <input type="checkbox"/> original general power of attorney 4. <input type="checkbox"/> copy of general power of attorney; reference number, if any: _____ 5. <input type="checkbox"/> statement explaining lack of signature 6. <input type="checkbox"/> priority document(s) identified in Box No. VI as item(s): _____ 7. <input type="checkbox"/> translation of international application into (language): _____ 8. <input type="checkbox"/> separate indications concerning deposited microorganism or other biological material 9. <input type="checkbox"/> sequence listings in computer readable form (indicate type and number of carriers)		
request (including declaration sheets)	: 7			
description (excluding sequence listings and/or tables related thereto)	: 93			
claims	: 15			
abstract	: 1			
drawings	: 0			
<b>Sub-total number of sheets</b>	<b>: 116</b>			
sequence listings	:			
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<input type="checkbox"/> sequence listings:		(vii) <input type="checkbox"/> other (specify): _____		
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## FEE CALCULATION SHEET

### Annex to the Request

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60425 PCT

Applicant  
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### CALCULATION OF PRESCRIBED FEES

1. TRANSMITTAL FEE .....

240.00

2. SEARCH FEE .....

1,119.00

International search to be carried out by \_\_\_\_\_

(If two or more International Searching Authorities are competent to carry out the international search, indicate the name of the Authority which is chosen to carry out the international search.)

3. INTERNATIONAL FEE

#### Basic Fee

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b1 first 30 sheets . . . . . 476.00 b1  
b2 86 x 12.00 = 1,032.00 b2  
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b3 additional component (only if sequence listings and/or tables related thereto are filed in computer readable form under Section 801(a)(i), or both in that form and on paper, under Section 801(a)(ii)):

400 x \_\_\_\_\_ = b3  
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#### Designation Fees

The international application contains \_\_\_\_\_ designations.

5 x 104.00 = 520.00 D  
number of designation fees amount of designation fee  
payable (maximum 5)

Add amounts entered at B and D and enter total at I . . . . . 2,028.00 I

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4. FEE FOR PRIORITY DOCUMENT (if applicable) . . . . . 20.00 P

5. TOTAL FEES PAYABLE . . . . . 3,407.00

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TOTAL

The designation fees are not paid at this time.

#### MODE OF PAYMENT

authorization to charge  
deposit account (see below)

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Date: 12 December 2003

Authorization to charge the fee for priority document.

Name: John B. Alexander, Ph.D.

Signature: 